

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen R. Carter and Delos C. Jensen
Serial No.: 09/654,660 Examiner: Not yet known
Filing Date: September 5, 2000 Group Art Unit: 2641

Title: POLICY ENFORCEMENT USING THE SEMANTIC CHARACTERIZATION OF TRAFFIC

Box DD
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

RECEIVED
APR 19 2001
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b), or
(Within three months of filing national application; or date of entry of International application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Statement under 37 CFR 1.97 (e), or
☐ a \$240 fee under 37 CFR 1.17 (p), or
(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with either:
☐ Statement under 37 CFR 1.97 (e),
☐ Applicant hereby petitions for the consideration of the accompanying information disclosure statement (37 C.F.R. §1.97(d)(ii).
☐ a \$130.00 petition fee set forth in 37 CFR 1.17 (i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation for this case together with copies of the IDS and PTO-1449 forms submitted and PTO-892 forms in the related cases.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



20575

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Date: 4-10-01

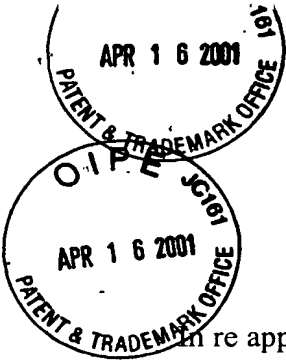
Deanna Brusco
Deanna Brusco

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Ariel Rogson
Ariel Rogson, Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
(503) 222-3613



PATENT APPLICATION
Attorney's Do. No. 6647-17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Stephen R. Carter and Delos C. Jensen

Serial No.: 09/654,660

Filed: September 5, 2000

For: POLICY ENFORCEMENT USING THE SEMANTIC
CHARACTERIZATION OF TRAFFIC

Box DD
Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith PTO Form 1449 and copies of patents and publications of which he is aware which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR Section 1.56.

The above-referenced application is related to co-pending U.S. Patent application Serial No. 09/512,963, filed February 25, 2000; to co-pending U.S. Patent application Serial No. 09/615,726, filed July 13, 2000; to co-pending U.S. Patent application Serial No. 09/653,713, filed September 5, 2000; and to U.S. Patent application Serial No. 09/109,804, filed July 2, 1998 and issued as U.S. Patent No. 6,108,619 on August 22, 2000.

It is understood that the listed references will be considered in the examination of the application and that no separate copies of the same prior art are required to be provided since they were previously cited or transmitted in the foregoing prior application. 37 CFR Section 1.98(d). Copies of forms 892 and 1449 from the prior application are enclosed.



20575

PATENT TRADEMARK OFFICE

<p>CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231</p> <p>Date: <u>4.10.01</u></p> <p><u>Deanna Brusco</u> Deanna Brusco</p>
--

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Ariel Rogson, Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
(503) 222-3613